

Available online at www.sciencedirect.com

Surface and Coatings Technology 183 (2004) 328–336

www.elsevier.com/locate/surfcoat

Structure and tribological properties of WSe_x , WSe_x/TiN , $WSe_x/TiCN$ and WSe_x/TiSiN coatings

D.V. Shtansky^{a, *}, T.A. Lobova^a, V.Yu. Fominski^b, S.A. Kulinich^a, I.V. Lyasotsky^c, M.I. Petrzhik^a, E.A. Levashov^a, J.J. Moore^d

Moscow State Institute of Steel and Alloys, Leninsky pr. 4, 164, Moscow 119049, Russia ^a

Moscow State Engineering Institute, Kashirskoe sh. 31, Moscow 115409, Russia ^b

*I.P. Bardin Central Research Institute for the Iron and Steel Industry, 2nd Baumanskaya Street, 9*y*23, Moscow 107005, Russia* ^c

^dACSEL Laboratory, Colorado School of Mines, Golden, CO 80401, USA

Received 6 May 2003; accepted in revised form 5 September 2003

Abstract

WSe, coatings were obtained by pulsed laser deposition (PLD) and ion-assisted PLD. The films were studied by means of Xray diffraction, scanning and transmission electron microscopy, Auger electron spectroscopy and energy-dispersive X-ray spectroscopy. The WSe_r films were also characterized in terms of their hardness, elastic modulus, surface topography and wear performance. The structure of WSe, films was shown to consist of various nanocrystalline mixtures of WSe, and W₃O phases in an amorphous WSe, matrix. Depending on deposition conditions, the WSe, crystallites were oriented with their basal planes either parallel or perpendicular to the substrate surface. A correlation between an amount of W_3O phase and c -axis-oriented WSe, phase was outlined. The incorporation of oxygen atoms between the basal planes was shown to cause lattice distortion and resulted in anisotropy of the lattice parameter. Improved endurance of WSe_x coatings was due to their composite structure. The presence of hard underlayer, such as TiC, TiCN or TiSiN, was shown to be essential for extremely low friction coefficients down to 0.015–0.03. The WSe_x/TiC films showed low friction from the very start of the tests without initial maximum. The superior performance of WSe*x*yTiSiN coatings both in air and under water suggests that the double-layer coatings are suitable for use in terrestrial tribological applications.

2003 Elsevier B.V. All rights reserved.

Keywords: Pulsed laser deposition; Ion-assisted PLD; WSe, coatings; Structure; Friction; Wear

1. Introduction

Hard coatings based on carbides, nitrides and borides of transition metals provide superior mechanical and tribological properties. However, these coatings show a high coefficient of friction $(0.85 \text{ TiB}_2 [1], 0.8-0.9 \text{ TiC})$ $(0.85 \text{ TiB}_2 [1], 0.8-0.9 \text{ TiC})$ $(0.85 \text{ TiB}_2 [1], 0.8-0.9 \text{ TiC})$ [[2](#page-8-0)], $0.7-0.9$ TiSiN [[3](#page-8-0)]) and require lubricant to reduce friction. The friction of hard coatings can be improved by the deposition of a thin $MoS₂$ layer on top of the coatings [[4](#page-8-0)]. Lubrication layer on the top of TiN reduces the friction, results in a low shear stress and leads to high bearing capacity [[5](#page-8-0)]. The WS_x and MoS_x coatings are most widely studied. The pure metal dichalcogenide coatings have been reported to show friction coefficient down to 0.02 in vacuum [[6](#page-8-0)]. Most sputter-deposited MoS_x films are substoichiometric $(1.1 < x < 2.0)$ [[7](#page-8-0)] and demonstrate low stability of friction coefficient [[8](#page-8-0)]. Despite superior lubrication properties, WS_x and MoS_x coatings have limited application because of poor adhesion, limited performance in humid atmosphere, unstable friction coefficient, insufficient lifetime, porous columnar structure or wrong orientation for lubrication. In an attempt to improve their tribological performance, various approaches have been proposed focusing on densification of film structure to avoid the formation of voided, columnar structure; doping of metals which chemically bond with oxygen during the wear process; deposition of coatings with preferential orientation.

Oxygen contamination of MoS_x and WS_x affects their wear behavior. The tribological properties of metal dichalcogenides were reported to degrade in humid air;

^{*}Corresponding author. Tel.: $+7-095-230-4535$; fax: $+7-095-236-$ 5298.

E-mail address: shtansky@shs.misis.ru (D.V. Shtansky).

Table 1 Basic PLD parameters and structure of the WSe_x films

No.	SHS target	Deposition temperature $^{\circ}\mathrm{C}$	Power density (J/cm ²)	W^+ ion energy (keV)	Phase composition
	WSe ₂	20	1.9		a-WSe _r , W ₃ O, \perp WSe ₂ , WSe ₂ (traces) ^a
2		20	100		a-WSe _r , W_3O
		250	1.9		\perp WSe ₂ , WSe ₂ , W ₃ O
4		250	100		a-WSe _x , \perp WSe ₂ , WSe ₂ , W ₃ O (traces)
5		20	1.9	30	$ WSe_2 + W_3O $
6		20	100	10	$ WSe_2 + W_3O $
		20	100	30	$a-WSe_x+W_3O$ (traces)

^a a-WSe, denotes amorphous phase; ⊥WSe₂ and ∥WSe₂ denote in-plane and out-of-plane *c*-axis-oriented to the substrate surface WSe₂ crystallites, respectively.

therefore, pure $MoS₂$ and $WS₂$ are not suitable for use in terrestrial atmosphere. An interesting approach to improve the properties of coatings is to make a twophase mixture of metal dichalcogenide with metal oxide that is believed to improve the lubrication and endurance of coatings.

Pulsed laser deposition (PLD) method has been successfully applied for the deposition of $MoS₂$ and $WS₂$ coatings $[9-16]$. The structure and chemical composition of coatings were shown to be very sensitive to the various process characteristics, such as ion energy, laser fluence, type of a buffer gas, etc. The bombardment of transition metal dichalcogenide films with high energy ions increases the density of coatings and improves their adhesion strength to substrate $[17–19]$ $[17–19]$ $[17–19]$.

While the WS_x and MoS_x coatings have been studied quite intensively, a rather small amount of attention has been paid so far to the WSe_x coatings. Two compounds, $WSe₂$ and $WSe₃$, have been found in the W–Se system [[20,21](#page-8-0)]. The stoichiometric range of WSe, phase has been shown to be very narrow, being of composition WSe_{x}, 1.97 $\lt x \lt 2$ [[22](#page-8-0)]. Recently, it has been reported that the addition of $WSe₂$ into MoS_x coating improves the endurance of self-lubricating coatings $[23]$ $[23]$ $[23]$.

In the present study, WSe_x coatings were fabricated by PLD and ion-assisted PLD (IAPLD). The coatings were characterized in terms of their structure, chemical composition, crystallography and surface topography. The tribological behavior of IAPLD WSe, coatings as well as double-layer WSe_x/TiC, WSe_x/TiCN and WSe_x/TiSiN coatings in pin-on-disk tests in various environments was studied.

2. Experimental

The $WSe₂$ target for PLD was manufactured by means of cold compacting technology under pressure of 600 MPa using $WSe₂$ powder obtained by self-propagating high-temperature synthesis (SHS). The $WSe₂$ powder was synthesized from W powder, 99.93% purity and particle size of $1-4 \mu m$, and Se powder, 99.997% purity.

The tungsten diselenide films were deposited on various substrates by PLD technique described elsewhere $[24]$ $[24]$ $[24]$ using WSe₂ target. Substrate materials included single crystal silicon (100), stainless steel (SS), high-speed steel (HSS) and hard alloy (HA). The diameter of the target was 20 mm and the thickness was 4 mm. The PLD system consisted of a 30-cm-diameter SS chamber with a target and a substrate holder, an electro-optically Q-switched Nd:yttrium–aluminum– garnet laser (wavelength $1.06 \mu m$, laser pulse duration 10 ns) and a beam scanning and focusing system. The deposition experiments were fulfilled at energy density of 1.9 and 100 J/cm² and the substrate temperature was kept at 20 or 250 $^{\circ}$ C. The distance from the target to the substrate was 5 cm and the chamber was evacuated to obtain a pressure below 2.6×10^{-4} Pa. In order to improve adhesion between film and substrate in tribological tests the WSe_r films were also deposited by ionassisted technique, wherein ions of the laser plume are accelerated to sufficiently high energy by a high-intensity, uniform electrostatic field $[24]$ $[24]$ $[24]$. High-energy ion bombardment was operating only at the initial stage of deposition. The ion energy was varied from 10 to 30 keV (Table 1) and the flux of ions was kept constant at 1.66×10^{12} ions/(cm² s).

For tribological tests, IAPLD WSe, films were deposited over TiC, TiCN and TiSiN films on HA(TT8K6 type) substrate. The hard TiC, TiCN and TiSiN coatings were fabricated by DC magnetron sputtering (MS) of composite targets $TiC_{0.5}$, $Ti₅Si₃ + Ti₁$ and $Ti₅Si₃ + TiN$, respectively, in an atmosphere of argon or reactively (RMS), in a gaseous mixture of argon and nitrogen [[25](#page-8-0)]. During deposition, the applied bias (U_{bias}) was controlled at -250 V and the substrate temperature was kept constant at 250 °C. The adhesion between hard film and substrate was improved by low energy ion bombardment of substrate at the initial stage of deposition using an additional Ar ion source operated at a fixed energy of 1.5 keV.

The microstructure and chemical composition of films were investigated by means of X-ray diffraction (XRD), Auger electron spectroscopy (AES), energy-dispersive

X-ray spectroscopy (EDS) and scanning and transmission electron microscopy (SEM and TEM). Thin foils for plain-view and cross-sectional TEM studies were prepared by conventional methods, which are described elsewhere $[26]$ $[26]$ $[26]$. The structure of the films was examined in a JEM-200CX (JEOL, Japan) TEM operating at 200 kV. The XRD spectra of films were obtained by a 'Geigerflex' X-ray diffractometer. The morphology and the thickness of the deposited films were determined in a JEOL field emission SEM (JSM-6700F, Japan). The surface topography and the root-mean-square roughness (R_{rms}) of as-deposited thin films were examined by scanning force microscopy (SFM, NanoScan, Russia $[27]$ $[27]$ $[27]$). The Auger analysis was performed in an LHS-10 SAM spectrometer.

The films were characterized in terms of their hardness (H) , elastic modulus (E) and elastic recovery (W_e) . The indentation hardness of the films was determined using a Nano Hardness Tester (CSM Instruments, Switzerland) equipped with a Berkovich diamond indentor tip, calibrated against fused silica. The hardness and Young's modulus were calculated using the Pharr and Oliver method $[28]$ $[28]$ $[28]$. The tribological properties of the coatings were evaluated using a conventional ball-ondisc tribometer (CSM Instruments, Switzerland) under a normal load of 5 N with a 3-mm diameter $WC +$ 6%Co ball as a counterpart material. Three tests were performed on each sample with wear track diameter varied within the range of 0.8–1.2 cm. Sliding speed was 10 cm/s .

3. Results and discussion

3.1. Target characterization

The SHS $WSe₂$ powder contained 54.0% W, 45.8% Se, 0.12% Fe, 0.01% Ni, 0.03% Cr, 0.015% Si and 0.036% Al. The size of the powder was $5-20 \mu m$ (Fig. 1a) and did not change during compacting process. The target was characterized by the presence of (0001) preferential orientation. Fig. 1b shows the structure of $WSe₂$ grain in the target manufactured using SHS powder compacting process. The grains are defect-free and range from 3 to 20 μ m.

3.2. Chemical composition

Rutherford backscattering spectroscopy was used to assess target composition. The Se/W ratio was of approximately 2 within the accuracy of 10%. Oxygen was also detected in an amount of 5–7 at.% by AES. The chemical composition of WSe_x films, as determined by EDS, however, was different from that of the target. The Se/W ratio in the films was shown to amount to 1:1; therefore, the films were enriched with W. The value of Se/W ratio in the films decreased further down

Fig. 1. (a) SEM micrograph of WSe₂ powder and (b) dark-field TEM micrograph of $WSe₂$ target showing a $WSe₂$ particle. (c) Selected area electron diffraction pattern from (b).

to 0.8 as the energy density was raised from 1.9 to 100 $J/cm²$. This loss of Se can be explained by the scattering of light Se atoms through larger angles in comparison with heavier W atoms and re-evaporation of Se from the film surface. The presence of oxygen in the films was also confirmed and can be associated with oxygen atoms introduced either by the residual gas within the chamber or/and by being sputtered from the target.

3.3. Texture and lattice parameter

The results obtained show that most of $WSe₂$ crystallites were oriented with their *c*-axis either perpendicular or parallel to the substrate surface. [Figs. 2 and 3](#page-3-0) show the XRD spectra of WSe_x films deposited at 20 and 250 ^oC, respectively. For the film 1, two very broad reflections can be seen in the 6° –19° and 30° –50° 20 ranges. The first broad reflection peaked at approximately 10.5° , as can be seen in the grazing incidence XRD (GIXRD) pattern at an incidence angle of 5° ([Fig. 4](#page-3-0)). The scattering angle is much smaller than the position of (0002) line for the stoichiometric WSe₂ phase $({\sim}15.9^{\circ})$. The shape and width of the reflections suggest that their origin is due to the presence of WSe*^x* phase in a poorly crystalline, quasi-amorphous state. Similar general features of the XRD patterns have also been interpreted by other groups as disorder effects induced by randomly stacked basal planes with fluctuation of the distance between them $[29,30]$ $[29,30]$ $[29,30]$. The XRD

Fig. 2. XRD spectra of WSe, films deposited at $T=20$ °C. W₃O reflections (\Box) .

40

 2θ (deg)

50

60

 $\overline{30}$

Intensity (arbitrary units)

 10

20

Fig. 3. XRD spectra of WSe, films deposited at $T = 250$ °C. W₃O

reflections (\square); WSe₂ reflections (\bigcirc).

Film 1

Film 5

Film 6

Film₇

 70

 2θ (deg), $\theta_{inc} = 5^{\circ}$ Fig. 4. GIXRD patterns at an incidence angle of 5° showing the first

broad reflection in the range of $6-19^{\circ}$ 2 θ .

spectrum of the film 1 did not reveal (0002) reflection of $WSe₂$ phase, whereas several reflections from the (0002) , (0004) and (1010) planes of WSe₂ phase were visible on the selected-area electron diffraction (SAED) pattern ([Fig. 5a](#page-4-0)). This indicates the presence of a strong texture, the WSe₂ (0001) basal planes being perpendicular to the substrate surface (hereafter, referred as \perp WSe₂ phase). Three peaks from the (002) , (012) and (112) lattice planes of W₃O phase can be distinguished upon the background of the second broad peak suggesting the co-existence of crystalline W_3O and amorphous $WSe_r(a-WSe_r)$ phases. This analysis shows that the structure of the film 1 consists of a mixture of $WSe₂$ phase with an edge-plane preferred orientation, randomly redistributed W_3O phase and quasi-amorphous WSe_x phase *(Figs. 2 and 5)*. The results presented here are the first experimental observation showing that WSe_r films are multicomponent and consist of a mixture of three phases.

The XRD patterns of the films 1 (Fig. 2) and 2 (not shown) were similar. In contrast, an analysis of the film 2 by SAED did not reveal any noticeable reflections belonging to crystalline WSe₂ phase except (0002)

D.V. Shtansky et al. / Surface and Coatings Technology 183 (2004) 328–336 331

Fig. 5. Dark-field TEM micrographs (plain-view) of WSe_x films formed from parts of the (a), (c) (0002)_{WSe}, and (b), (d) (012)_{W30} diffraction rings. (a), (b) film 1; (c), (d) film 2.

line which is weak and diffuse. This result implies that the basal planes in the film 2 are roughly parallel to each other but randomly rotating about the layer normal, similar to the turbostratic BN [[31](#page-8-0)]. The WSe, phase has short-range order limited to the small particles (Fig. 5c) consisting of stacking faults parallel to the basal planes. The particle orientation is not random, but such that the basal planes are mostly perpendicular to the substrate surface. Therefore, \perp WSe₂ phase in the film 2 presents an intermediate case between a purely random network and crystalline $WSe₂$ phase. Thus, when laser fluence was increased to 100 J/cm², the amount of crystalline \perp WSe, phase decreased drastically, while the volume fraction of quasi-amorphous phase $WSe₂$ increased ([Table 1](#page-1-0)).

The film 3, deposited at higher temperature $T=250$ $^{\circ}$ C and *P* = 1.9 J/cm², was characterized by the presence of a strong (0002) peak from the WSe₂ phase (referred as $\mathbb{I}W\mathbb{S}e_2$ phase) indicating that the film was highly textured with $[0001]$ axis normal to the Si substrate ([Fig. 3](#page-3-0)). The peak position corresponds to a spacing of approximately 0.66 nm, which is consistent with powder spectrum of $WSe₂$ phase (card No. 38-1388, JCPDS). The SAED pattern revealed diffraction lines (0002), (0004) , (1010) , (1013) , (1120) and (0008) from WSe₂ phase. The (0002) peak in the SAED pattern is the strongest one compared with the other peaks that are weak and diffuse. Thus, the combination of XRD and SAED results suggests that nearly all crystallites are oriented by such a way that their basal planes are either parallel or perpendicular to the substrate surface ([Table 1](#page-1-0)). This is in agreement with the exper-imental data by Pouzet et al. [[32](#page-8-0)], who reported on the mixed orientation due to competitive growth of $WSe₂$ crystallites $(0\ 0\ 0\ 1)$ || $(1\ 0\ 0)$ Si and $(0\ 0\ 0\ 1)$ \perp $(1\ 0\ 0)$ Si.

With increasing power density up to 100 J/cm^2 , the intensity of (0002) peak decreased and its position shifted to lower diffraction angles ([Fig. 3,](#page-3-0) film 4). This might be attributed to extension of the structure in the *c*-direction due to disorder effects, similar to the previous results [[33](#page-8-0)]. Note that the low 2θ XRD peak from some dichalcogenide films grown by ion-beam-assisted deposition, similar to that observed in [Fig. 4,](#page-3-0) has been reported by Seitzman et al. [[34](#page-8-0)] and ascribed to a local interplanar expansion of the crystal structure normal to basal planes $\left[33\right]$ $\left[33\right]$ $\left[33\right]$.

IAPLD films 5 and 6 also exhibit the pronounced (0002) peak of WSe, phase indicating strong planar (0001) preferential orientation ([Fig. 2](#page-3-0)). In these particular cases an amount of $WSe₂$ phase with edge-plane texture and amorphous phase was quite small. Therefore, the structure of films 5 and 6 may be interpreted as a mixture of (0001) -oriented WSe₂ and W₃O phases.

A strong correlation between an amount of W_3O and $(0\ 0\ 0\ 1)\|(1\ 0\ 0)_{\text{Si}}$ -oriented WSe₂ phases was found. The growth of \parallel WSe₂ phase is accompanied by increase of volume fraction of W_3O phase. This phenomenon can be understood by comparison of the lattice parameters of the $||WSe_2$ and $\perp WSe_2$ phases. The value of lattice parameter of \perp WSe, phase calculated on the basis of the planes (0002) , (0004) and (0008) in SAED pattern was correspondingly higher than that from the $(10\bar{1}0)$ and $(10\bar{1}3)$ lines. This is a clear indication of an anisotropy of the lattice parameter in $(0\ 0\ 0\ 1) \perp (1\ 0\ 0)$ _{Si}-oriented WSe, phase. The lattice parameter of \parallel WSe₂ phase derived from the (00002) XRD reflection was, however, close to that of the bulk $WSe₂$ specimen. We believe that the distortion was probably caused by the incorporation of oxygen atoms between the basal planes that grew normal to the substrate surface. The penetration of light atoms (oxygen, alkali metals) between the basal planes of layered metal dichalcogenides has previously been reported [[35,36](#page-8-0)]. In the case of $WSe₂$ crystallites growing by such a way that $(0\ 0\ 0\ 1)$ $|(1\ 0\ 0)_{Si}$, a phase separation $WSe₂ + W₃O$ occurs and an amount of amorphous phase decreases. Therefore, one can expect that phase separation could correlate with the disordered state.

3.4. Structure and phase composition

A combination of XRD and TEM techniques has enabled the identification of the phase composition of WSe_x films. The typical plain-view dark-field TEM micrographs and corresponding SAED patterns of as-deposited WSe_r films are shown in [Fig. 5.](#page-4-0) All films consisted of WSe_x crystallites, $2-30$ nm in size, with different preferred orientations depending on deposition conditions, randomly oriented W_3O crystallites, $15-100$ nm in size, and a-WSe, phase. When irradiation density was increased, the $WSe₂$ grain size slightly decreased from $5-30$ to $2-20$ nm ([Fig. 5a](#page-4-0) and c), whereas the average crystallite size of W_3O phase did not change. All the diffraction lines in the SAED patterns were identified as belonging to either hexagonal WSe₂ phase or cubic phase A15 type based on W_3O (card No. 41-1230, JCPDS) and no additional lines were observed.

Fig. 6. SEM micrograph of WSe, film 1. Cross-sectional specimen was sputter-coated with 2-nm-thick Au prior to analysis.

In the present study, the qualitative element analysis of the W_3O crystallites and the surrounding matrix in thin foils was carried out in the TEM mode. The results of the EDS analysis showed that Se content in the W_3O particles is negligible in comparison with that in the matrix confirming formation of tungsten oxide, which is in agreement with the XRD.

3.5. Film morphology and surface topography

The SEM cross-section fracture of the WSe_x film 1, $0.75 \mu m$ thick, revealed a columnless morphology (Fig. 6). The film is dense but has large spherical inclusions, $0.2-0.3$ μ m in size. The accumulation of spherical particles into the growing MoS_r films deposited at high energy density was also reported previously $[11]$ $[11]$ $[11]$.

Data from EDS ([Fig. 7](#page-6-0)) and TEM analyses showed that the structure and chemical composition within the large spherical particles in the film is identical to that within the surrounding matrix. Therefore, it is proposed that these spherical particles crystallized from melt. The SFM image in [Fig. 8](#page-6-0) shows that the surface of the film is covered with fully developed 3D islands with the size varying within the range of $70-250$ nm $(R_{rms}=20$ nm for a $5\times5 \mu m^2$ scan area). The size of 3D hills on the film surface is larger than the crystallite size; therefore, one dome-like hillock on the film surface consists of several grains.

3.6. Mechanical and tribological properties

The hardness of WSe_x films was of approximately $5.2 + 0.8$ GPa, that is, higher than the $2-3$ GPa hardness of typical solid lubricants $[37]$ $[37]$ $[37]$. The hardness value is, however, close to that of 4.7 GPa for $MoS₂$ coating [[38](#page-8-0)]. The increase in hardness is believed to be due to

Fig. 7. EDS spectra of WSe_x film 1 taken from (a) the large spherical particle in the film, $0.3 \mu m$ in size, and (b) the surrounding matrix. The film was deposited on SS substrate.

Fig. 8. SFM surface image of WSe_x film 1.

the presence of metal oxide particles. The Young's modulus changed within the range of 90–110 GPa.

The friction coefficient of IAPLD WSe, films deposited on HSS substrate vs. hard alloy was found to be very low and changed within the range of 0.04–0.12 depending on the deposition conditions (Fig. 9). The difference in friction can be attributed to the higher volume fraction of c -axis-oriented $WSe₂$ phase in the film 6 in comparison with the film 5. Films tested at a low load of 1 N showed longer lifetime than those tested at 5 N.

For friction tests, thin WSe_x films were also deposited on the top of the hard coatings, such as TiC, TiCN or TiSiN. The values of hardness, Young's modulus, friction coefficient and wear rate of the hard films are presented in [Table 2.](#page-7-0) The IAPLD WSe_x/hard coatings were tested for wear resistance at a normal load of 5 N

Fig. 9. Friction coefficient of WSe, films deposited by IAPLD on HSS substrate against 3-mm WC+6%Co ball at a load of 1–5 and 2–1 N. (a) Film 6; (b) film 5.

Table 2 Hardness, Young's modulus, friction coefficient and wear rate of the TiC, TiCN and TiSiN films

	TiC	TiCN	TiSiN
Hardness, H (GPa) Young's modulus, $E(GPa)$ Friction coefficient, μ Wear rate, $V_w (10^{-7} \text{ mm}^3 \text{ N}^{-1} \text{ m}^{-1})$ 9.6	$30 + 3$ 0.21	$21 + 3$ $445+60$ $234+20$ $320+20$ 0.17 9.8	$28 + 2$ $0.5 - 0.6$ $12 - 14$

(contact pressure approximately 2.8 GPa) and sliding speed of 10 cm/s, where the WSe_x films survived for 8000 cycles. It was frequently reported that the film is in wrong orientation for lubrication if the basal planes are perpendicular to the substrate [[39](#page-8-0)]. Therefore, the film 5 was chosen as a self-lubricating layer due to its strong *c*-axis [0 0 0 1] texture. The self-lubricating layer of WSe*x*yTiCN coating did not wear through over the total sliding distance of 1000 m (\sim 19 500 cycles). The friction coefficient varied between 0.04 and 0.015 at 30% humidity depending on the type of supporting coating. Fig. 10 shows the friction coefficients of the WSe_x/TiC, WSe_x/TiCN and WSe_x/TiSiN coatings against 3 mm $WC + 6\%$ Co ball as a counterpart material. The WSe_x films, $0.6-0.7 \mu m$ thick, were deposited by IAPLD on HSS substrates. The thickness of hard underlayer deposited by MS or RMS was varied within the range of 0.7 –0.9 μ m. The friction coefficient of WSe_x/ TiCN and WSe_x/TiSiN coatings displayed an initial maximum, 0.07 in height, followed by a drop to 0.03 after 300 m (WSe_x/TiCN) and 0.015 after 250 m (WSe*x*yTiSiN). The appearance of initial maximum has been reported for $TiN-MoS₂$ coatings to be attributed to the formation of oxide transfer film based on rutile [[40](#page-8-0)]. No such maximum was observed in the case of WSe_x/TiC coating, indicating that the contact adhesion was lower. Wear-through of the top layer of WSe_x/TiC and WSe_x/TiSiN coatings occurred after approximately 7500 cycles, whereas coefficient of friction of WSe_x/ TiCN coating remained below 0.05 after 20 000 revolutions. The friction coefficient of double-layered coatings in atmospheric conditions was an order of magnitude lower than that of carbide, nitride and carbonitride coatings.

It was frequently reported on friction induced reorientation of $MoS₂$ and $WS₂$ basal planes parallel to substrate surface [[41,42](#page-8-0)]. In the case of equaxed WSe₂. nano-grains embedded in amorphous a-WSe, matrix, $WSe₂$ nanocrystallites might re-orient themselves in the favor direction normal to the sliding interface as well. Additional study is necessary to clarify this question.

Under water, friction coefficient of WSe_x/TiSiN coating was as low as 0.06 (Fig. 11). The background of friction curve was typically flat, although the fluctuations within the range of 0.04–0.1 were observed. The

WSe₂/TiSiN **Priction coefficient** 0.10 WSe₂/TiC 0.05 **WSe₂TiCN** 0.00 10000 5000 15000 20000 **Number of cycles**

Fig. 10. Friction coefficients of the double-layer coatings against 3 mm $WC + 6\%$ Co ball.

results obtained show that the WSe_x/TiSiN coatings are effective as lubricants, even under water.

Oxygen is a common contaminant of the transition metal dichalcogenides. The oxygen atoms have been reported to substitute for non-metallic atoms in $MoS₂$ structure to form $MoS_{2-x}O_x$ and deteriorate friction performance [[43](#page-8-0)]. In contrast, improved WSe, film performance in our study could be due to film composite structure consisting of WSe₂, W_3O and a-WSe_x phases.

4. Summary

The WSe_r films were formed by PLD and IAPLD. The microstructure and chemical composition of WSe*^x* films were studied by means of XRD, SEM and TEM, AES and EDS. The WSe_r films were characterized in terms of their hardness, elastic modulus, surface topography and wear performance. The structure of WSe*^x* films was shown to consist of various nanocrystalline

Fig. 11. Friction coefficient of the WSe_x/TiSiN coating against 3-mm $WC + 6\%$ Co ball under water.

mixtures of $WSe₂$ and $W₃O$ phases in an a-WSe_x matrix. Depending on deposition conditions, the $WSe₂$ crystallites were oriented with their basal planes either parallel or perpendicular to the substrate surface. In the last case the incorporation of oxygen atoms between the basal planes caused lattice distortion and increased the *c*-axis lattice parameter. Improved endurance of WSe_x coatings was due to their composite structure. The presence of hard under-layer was shown to be essential for extremely low friction. The WSe_x/TiC films showed low friction from the very start of the tests without initial maximum. The superior performance of WSe_x/hard coating systems both in air and under water suggests that the doublelayer coatings are suitable for use in terrestrial tribological applications.

Acknowledgments

The work was fulfilled due to financial support from ISTC, Award No. 1852. We thank D.L. D'yakonov for help with the TEM and Dr N.B. D'yakonova for assistance with XRD experiments.

References

- [1] T.P. Molart, J. Haupt, R. Gilmore, W. Gissler, Surf. Coat. Technol. 86–87 (1996) 231.
- [2] M. Stüber, H. Leiste, S. Ulrich, H. Holleck, D. Schild, Surf. Coat. Technol. 150 (2002) 218.
- [3] K.H. Kim, S. Choi, S. Yoon, Surf. Coat. Technol. 161 (2002) 243.
- [4] T. Cselle, A. Barimani, Surf. Coat. Technol. 76–77 (1995) 712.
- [5] K.J. Ma, C.L. Chao, D.S. Liu, Y.T. Chen, M.B. Shieh, J. Mater. Proc. Technol. 127 (2002) 182.
- [6] D.G. Teer, Wear 251 (2001) 1068.
- [7] T.R. Jervis, M. Nastasi, R. Bauer, P.D. Fleischauer, Thin Solid Films 181 (1989) 475.
- [8] E. Bergmann, G. Melet, A. Simon-Vermot, Tribol. Int. 14 (1981) 329.
- [9] M.S. Donley, J.S. Zabinski, in: D.B. Chrisey, G.K. Hubler (Eds.), Pulsed Laser Deposition of Thin Films, Wiley, New York, 1994, p. 431.
- [10] M.S. Donley, P.T. Murray, S.A. Barber, T.W. Haas, Surf. Coat. Technol. 36 (1988) 329.
- [11] S.D. Walck, J.S. Zabinski, M.S. Donley, J.E. Bultman, Surf. Coat. Technol. 62 (1993) 412.
- [12] V.Yu. Fominski, A.M. Markeev, V.N. Nevolin, Vacuum 42 (1991) 73.
- [13] N.T. McDevitt, J.S. Zabinski, M.S. Donley, Thin Solid Films 240 (1994) 76.
- [14] V.Yu. Fominski, A.M. Markeev, V.N. Nevolin, V.B. Prokopenko, Thin Solid Films 248 (1994) 240.
- [15] S.V. Prasad, J.S. Zabinski, N.T. McDevitt, Tribol. Trans. 38 (1995) 57.
- [16] V.Yu. Fominski, V.N. Nevolin, R.I. Romanov, I. Smurov, J. Appl. Phys. 89 (2001) 1449.
- [17] M. Hirano, S. Miyake, Appl. Phys. Lett. 47 (1985) 683.
- [18] N.J. Mikkelsen, J. Chevallier, G. Sorensen, C.A. Straede, Appl. Phys. Lett. 52 (1988) 1130.
- [19] K. Kobs, H. Dimiden, H. Hiibsch, H.J. Tolle, S. Leutenecker, H. Ryssel, Mater. Sci. Eng. 20 (1987) 281.
- [20] J.B. Goodenough, Mater. Res. Bull. 3 (1968) 409.
- [21] L.C. Upadhyaya, J.J. Lofcrski, A. Wold, J. Appl. Phys. 39 (1968) 4736.
- [22] M.K. Agrawal, H.B. Patel, K. Nagirendy, J. Cryst. Growth 60 (1982) 9.
- [23] M.S. Simmonds, A. Savan, E. Pflüger, H. Van Swygenhoven, Surf. Coat. Technol. 126 (2000) 15.
- [24] V. Fominski, V. Nevolin, R. Romanov, A. Smirnov, V. Titov, W. Scharff, Thin Solid Films 422 (2002) 39.
- [25] D.V. Shtansky, I.V. Lyasotsky, N.B. D'yakonova, F.V. Kiryukhantsev-Korneev, S.A. Kulinich, E.A. Levashov, J.J. Moore, Surf. Coat. Technol., in press. **PLEASE UPDATE AT ISSUE STAGE**.
- [26] D.V. Shtansky, K. Kaneko, Y. Ikuhara, E.A. Levashov, Surf. Coat. Technol. 148 (2001) 206.
- [27] V. Blank, M. Popov, N. Lvova, K. Gogolinsky, V. Reshetov, J. Mater. Res. 12 (1997) 3109.
- [28] G.M. Pharr, W.C. Oliver, F.R. Brotzen, J. Mater. Res. 7 (1992) 613.
- [29] J. Moser, F. Lévy, Thin Solid Films 240 (1994) 56.
- [30] A. Teresiak, G. Weise, N. Mattern, H. Hermann, H.-D. Bauer, Mikrochim. Acta 125 (1997) 349.
- [31] D.V. Shtansky, O. Tsuda, Y. Ikuhara, T. Yoshida, Acta Mater. 48 (2000) 3745.
- [32] J. Pouzet, J.C. Bernede, A. Khellil, H. Essaidi, S. Benhida, Thin Solid Films 208 (1992) 252.
- [33] D.N. Dunn, L.E. Seitzman, I.L. Singer, J. Mater. Res. 12 (1997) 1191.
- [34] L.E. Seitzman, R.N. Bolster, I.L. Singer, Thin Solid Films 260 (1995) 143.
- [35] R. Collongues, La non-stoechiometrie, Masson et Cie (Editeurs), Paris, 1971, 288 p. (in French).
- [36] M.V. Nogenkov, Frict. Wear 8 (1987) 459.
- [37] B. Blushan, B.K. Gupta, Handbook of Tribology: Materials, Coatings, and Surface Treatments, McGraw Hill, New York, 1991, p. 5.1, 3.1.
- [38] X. Zhang, R. Vitchev, W. Lauwerens, L. Stals, J. He, J.-P. Celis, Thin Solid Films 396 (2001) 69.
- [39] M.R. Hilton, G. Jayaram, L.D. Marks, J. Mater. Res. 13 (1998) 1022.
- [40] R. Gilmore, M.A. Baker, P.N. Gibson, W. Gissler, M. Stoiber, P. Losbichler, C. Mitterer, Surf. Coat. Technol. 108–109 (1998) 345.
- [41] J. Moser, F. Levy, J. Mater. Res. 8 (1993) 206.
- [42] J.S. Zabinski, M.S. Donley, S.V. Prasad, N.T. McDevitt, J. Mater. Sci. 29 (1994) 4834.
- [43] J.R. Lince, M.R. Hilton, A.S. Bommannavar, Thin Solid Films 264 (1995) 120.