# Spin effects in $\boldsymbol{p d} \rightarrow{ }^{3} \mathrm{He} X$ reactions 

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It is shown that a two-step model of the reaction $p d \rightarrow{ }^{3} \mathrm{He} X\left(X=\eta, \eta^{\prime}\right.$, $\omega, \phi$ ), involving the subprocesses $p p \rightarrow d \pi^{+}$and $\pi^{+} n \rightarrow X p$, can account for the form of the energy dependence of experimental cross sections above the thresholds under the assumption that the singlet part of the $p p \rightarrow d \pi^{+}$amplitude dominates. The spin-spin asymmetry for the reaction $d p \rightarrow{ }^{3} \mathrm{He} X$ has been found to be $\sim-1$ in the forwardbackward approximation. © 1996 American Institute of Physics. [S0021-3640(96)00101-6]

Reactions $p d \rightarrow{ }^{3} \mathrm{He} X$, where $X$ means a meson heavier than the pion, are of great interest for several reasons. First, high momentum transfer $(\sim 1 \mathrm{GeV} / c)$ to the nucleons takes place in these processes. Second, unexpectedly strong energy dependence of $\eta$ meson production was observed near the threshold. ${ }^{1}$ In this respect the possible existence of quasi-bound states in the $\eta-{ }^{3} \mathrm{He}$ system is discussed in the literature. ${ }^{2,3}$ Third, production of the $\eta, \eta^{\prime}, \phi$ mesons, whose wave functions contain valence strange quarks, raises a question concerning the strangeness of the nucleon and the mechanism of Okubo-Zweig-Iizuka rule violation. ${ }^{4}$ An experimental investigation of the reaction $d p \rightarrow{ }^{3} \mathrm{He} \phi$ at Dubna has been proposed ${ }^{5}$ to check the hypothesis that the nucleon possesses a polarized strangeness content. ${ }^{4}$ Thus the investigation of conventional (nonexotic) mechanisms of the reaction in question is of great importance.

The important role of the intermediate pion beam in the reaction $p d \rightarrow{ }^{3} \mathrm{He} \eta$ was demonstrated in Ref. 6. As was mentioned for the first time in Ref. 7, at the threshold of the reaction $p d \rightarrow{ }^{3} \mathrm{He} \eta$ a two-step mechanism, including two subprocesses $p p \rightarrow d \pi^{+}$ and $\pi^{+} n \rightarrow \eta p$, is favored. The advantage of this mechanism is that at the threshold of this reaction and at zero momenta of Fermi motion in the deuteron and ${ }^{3} \mathrm{He}$ nucleus, the amplitudes of these subprocesses are practically on the energy shells. It is easy to check that this peculiarity (the so-called velocity matching or kinematic miracle) takes place above the threshold too, if the c.m.s. angle $\theta_{c . m}$. of the $\eta$ meson production in respect to the proton beam is $\theta_{\text {c.m. }} \sim 90^{\circ}$. For the $\omega, \eta^{\prime}$, and $\phi$ mesons velocity matching takes place above the corresponding thresholds only at $\theta_{\text {c.m. }} \sim 50^{\circ}-90^{\circ}$, depending on the meson mass and the energy of the incident proton. The two-step model of the $p d \rightarrow{ }^{3} \mathrm{He} \eta$ reaction was developed in Refs. 3 and 8. Recently it was found ${ }^{9}$ that the two-step model can describe the form of the threshold cross sections of $p d \rightarrow{ }^{3} \mathrm{He} X$ reactions as a function of the mass of produced meson $X=\eta, \omega, \eta^{\prime}, \phi$. The absolute value was underestimated
by an overall normalization factor of about 2.4. However, the above-threshold behavior of the cross sections was not investigated in spite of available experimental data, ${ }^{10}$ and the spin observables are not discussed.

In this work the two-step model ${ }^{3}$ is extended for the production of $\eta, \omega, \eta^{\prime}$ and $\phi$ mesons above the thresholds (at final c.m.s. momenta $p^{*}$ of about several hundred $\mathrm{MeV} /$ $c)$. From the description of the energy dependence of the cross section above threshold we conclude that the singlet amplitude in the spin structure of process $p p \rightarrow d \pi^{+}$dominates. On this basis we predict the spin-spin correlation for the reaction $d p \rightarrow{ }_{5}^{3} \mathrm{He} X$ at the energy region of the proposed Dubna experiment. ${ }^{5}$

In the general case the cross section of the reaction $d p \rightarrow{ }^{3} \mathrm{He} X$ with polarized colliding particles is too cumbersome. Let us consider at first the spin-averaged cross section. In the two-step model it can be represented in the following formally separable form

$$
\begin{equation*}
\frac{d \sigma}{d \Omega}=R_{S} K\left|\mathscr{F}\left(P_{0}, E_{0}\right)\right|^{2} \frac{d \sigma}{d \Omega}\left(p p \rightarrow d \pi^{+}\right) \frac{d \sigma}{d \Omega}\left(\pi^{+} n \rightarrow X p\right), \tag{1}
\end{equation*}
$$

where $K$ is the kinematic factor defined according to Eq. (21) in Ref. 3 for the differential cross section, derived in a spinless approximation. (Indeed, the factor $K$ from Ref. 3 is multiplied here by factor $(9 / 8)^{2}$ in order to obtain the correct normalization condition for the vertex function $d+p \rightarrow{ }^{3} \mathrm{He}$ ). The formfactor $\mathscr{F}\left(P_{0}, E_{0}\right)$ in Eq. (5) can be expressed through the $S$ and $D$ components of the nuclear wave function $\varphi_{l}$ by the following integrals:

$$
\begin{equation*}
\mathscr{F}_{L l l^{\prime}}\left(P_{0}, E_{0}\right)=\frac{1}{4 \pi} \int_{0}^{\infty} j_{L}\left(P_{0} r\right) \exp \left(i E_{0} r\right) \varphi_{l}^{\tau}(r) \varphi_{l^{\prime}}^{d}(r) r d r \tag{2}
\end{equation*}
$$

the normalization integral $\int_{0}^{\infty}\left[\varphi_{0}^{2}(r)+\varphi_{2}^{2}(r)\right] r^{2} d r$ equals 1 for the deuteron and $S_{p d}^{\tau}=1.5$ (Ref. 11) for the ${ }^{3} \mathrm{He}$. The variables $E_{0}$ and $P_{0}$ are defined in Ref. 3. In comparison with Ref. 8 we do not use the linear approximation in Fermi momenta of the nucleons but instead take this dependence into account exactly. In the $S$-wave approximation we have $\mathscr{F}\left(P_{0}, E_{0}\right)=F_{000}$.

The additional factor $R_{S}$ in Eq. (5), which is absent in Ref. 3, takes into account spins and generally depends on mechanism of the reaction because of the complicated spin structure of the amplitudes $A_{1}\left(p p \rightarrow d \pi^{+}\right)$and $A_{2}\left(\pi^{+} n \rightarrow X p\right)$. The analysis is simpler at the angles $\theta_{\text {c.m. }}=0^{\circ}$ and $180^{\circ}$. In this case the production of a pseudoscalar meson $\pi^{+} n \rightarrow X p$ in the forward-backward direction is described by only one invariant amplitude. The processes $p p \rightarrow d \pi^{+}$and $\pi^{+} n \rightarrow \omega(\phi) p$ are determined by two forwardbackward invariant amplitudes $a_{i}$ and $b_{i}$ according to the following expressions ${ }^{12}$

$$
\begin{align*}
& \hat{A}_{1}\left(p p \rightarrow d \pi^{+}\right)=a_{1} \mathbf{e} \cdot \mathbf{n}+i b_{1} \vec{\sigma} \cdot[\mathbf{e} \times \mathbf{n}]  \tag{3}\\
& \hat{A}_{2}\left(\pi^{+} n \rightarrow p \omega\right)=a_{2} \mathbf{e} \cdot \sigma+b_{2}(\vec{\sigma} \cdot \mathbf{n})(\mathbf{e} \cdot \mathbf{n}), \tag{4}
\end{align*}
$$

where $\mathbf{n}$ is the unit vector along the incident proton beam, $\mathbf{e}$ is the polarization vector of the spin-1 particle $(d, \omega, \phi), \sigma$ denotes the Pauli matrix. According to our numerical calculations, the contribution of the $D$ component of the nuclear wave functions to the square modulus of the form factor $\left|\mathscr{F}\left(P_{0}, E_{0}\right)\right|^{2}$ is less than $\sim 10 \%$ for the deuteron and
less than $\sim 1 \%$ for ${ }^{3} \mathrm{He}$. Using the $S$-wave approximation for the nuclear wave functions and taking into account Eqs. (3) and (4) we have found the following expressions for the spin factor $R_{S}$ of the spin-averaged cross section in the two-step model

$$
\begin{equation*}
R_{0}=\frac{1}{3}\left(\frac{1}{2}\left|a_{1}\right|^{2}+\frac{2}{3}\left|b_{1}\right|^{2}-\frac{2}{3} \operatorname{Re}\left(a_{1} b_{1}^{*}\right)\right)\left[\frac{1}{2}\left|a_{1}\right|^{2}+\left|b_{1}\right|^{2}\right]^{-1} \tag{5}
\end{equation*}
$$

- for the pseudoscalar mesons and

$$
\begin{align*}
R_{1}= & \frac{1}{3}\left[\frac{1}{2}\left|a_{1}\right|^{2}\left(3\left|a_{2}\right|^{3}+\gamma\right)+\frac{2}{3}\left(\left|a_{2}\right|^{2}+\gamma\right) \operatorname{Re}\left(a_{1} b_{1}^{*}\right)+\frac{2}{3}\left|b_{1}\right|^{2}\left(5\left|a_{2}\right|^{2}+\gamma\right)\right] \\
& \times\left[\frac{1}{2}\left(\left|a_{1}\right|^{2}+2\left|b_{1}\right|^{2}\right)\left(3\left|a_{2}\right|^{2}+\gamma\right)\right]^{-1} \tag{6}
\end{align*}
$$

for the vector mesons, where $\gamma=\left|b_{2}\right|^{2}+2 \operatorname{Re}\left(a_{2}^{*} b_{2}\right)$. It follows from Ref. 12 that $\left|b_{1}\right| /\left|a_{1}\right| \sim 0.1$ at the threshold of $\eta$ meson production $T_{p} \sim 0.9 \mathrm{GeV}$, and one can therefore put $R_{0}=1 / 3$ (Refs. 8 and 9). Unfortunately, no experimental data on the spin structure of the $p p \rightarrow d \pi^{+}$and $\pi^{+} n \rightarrow \omega(\phi) p$ amplitudes at energies $T_{p} \geqslant 1400 \mathrm{MeV}$ are available. Thus, the exact absolute magnitude of the spin factors and the cross sections is rather questionable. We have found numerically from Eqs. (5) and (6) that the values $R_{0}$ and $R_{1}$ vary in the range from $1 / 9$ to $4 / 9$ when the complex amplitudes $a_{i}$ and $b_{i}$ vary arbitrarily. A remarkable peculiarity of the condition $\left|a_{1}\right| \gtrdot\left|b_{1}\right|$ is that in this case the spin factor $R_{1}$ for vector mesons does not depend on the behavior of amplitudes $a_{2}$ and $b_{2}$ and in accordance with Eq. (6) it equals $R_{1}=1 / 3$. This value is very close to the maximal one $R_{S}^{\max }=4 / 9$. It will be shown below that assumption $\left|a_{1}\right| \gg\left|b_{1}\right|$, which provides the condition $R_{0}=R_{1}=\frac{1}{3}=$ const, is compatible with the main features of the observed cross sections for $\eta, \omega$, and $\eta^{\prime}$ meson production. The numerical calculations are presented below at $R_{0}=R_{1}=\frac{1}{3}$.

The numerical calculations are performed using nuclear wave functions and parametrization for the $p p \rightarrow d \pi^{+}$reaction as in Ref. 3. The experimental data on the total cross section of the reactions $\pi^{+} n \rightarrow p \eta\left(\eta^{\prime}, \omega, \phi\right)$ are taken from Refs. 13 and 14 and the isotropic behavior of the differential cross section is assumed here. The numerical results are obtained in the $S$-wave approximation for the spin-averaged cross sections and with the $D$ component of the deuteron taken into account for the spin correlations. The results of calculations of the differential cross sections are presented in Figs. 1 and 2 in comparison with the experimental data.

Numerical calculations show that under the assumption $\left|a_{1}\right| \gtrdot\left|b_{1}\right|$ the two-step model:
(i) describes the shape of the energy dependence of the observed cross sections for $\eta, \eta^{\prime}, \omega$ meson production (see Figs. 1 and 2);
(ii) predicts the ratio of the square moduli of the threshold amplitudes as $R(\phi / \omega)$ $=\left|f\left(p d \rightarrow{ }^{3} \mathrm{He} \phi\right)\right|^{2} /\left|f\left(p d \rightarrow{ }^{3} \mathrm{He} \omega\right)\right|^{2}=0.52$, in agreement with the experimental value $R^{\text {exp }}=0.07 \pm 0.02$;
(iii) explains the absolute value of the cross section of the reaction $p d \rightarrow{ }^{3} \mathrm{He} \omega$ at $T_{p}=3 \mathrm{GeV}, \theta_{\text {c.m. }}=60^{\circ}$ (this kinematical region corresponds to the matching condition);


FIG. 1. Differential cross sections of the $p d \rightarrow{ }^{3} \mathrm{He} \eta\left(\omega, \eta^{\prime}, \phi\right)$ reactions as a function of the laboratory kinetic energy of proton $T_{p}$. The curves show the results of calculations at $R_{S}=\frac{1}{3}$ for different angles $\theta_{c . m}$. multiplied by the appropriate normalization factor $N$. a) $p d \rightarrow{ }^{3} \mathrm{He} \eta: 180^{\circ}$ (solid curve, $N=3$ ), $60^{\circ}$ (dashed curve, $N=3$ ); the circles are experimental data: $\bigcirc-\theta_{\text {c.m. }}=180^{\circ}$, Ref. 1, - $\theta_{c . m .}=60^{\circ}$, Ref. 15; b) $p d \rightarrow{ }^{3} \mathrm{He} \eta^{\prime}$ at $\theta_{\text {c.m. }}=180^{\circ}$ (solid, $N=3$ ) and $\theta_{\text {c.m. }}=60^{\circ}$ (dashed, $N=3$ ); the circles are experimental data for the $\eta^{\prime}$ production: $\bigcirc-\theta_{c . m .}=180^{\circ}$, Ref. 16; - $\theta_{\text {c.m. }}=60^{\circ}$, Ref. 15; the dotted curve shows the results of calculation for the $p d \rightarrow{ }^{3} \mathrm{He} \phi$ reaction at $\theta_{c . m .}=180^{\circ}$ normalized by factor $N=6.6$ to the experimental point $(\triangle)$ from Ref. 16.
(iv) is consistent, within the experimental errors, with the experimental data ${ }^{15}$ on the absolute value of the cross section for $\eta^{\prime}$ production at $T_{p}=3 \mathrm{GeV}, \theta_{\text {c.m. }}=60^{\circ}$ (this kinematical region corresponds to the matching condition).

Therefore the assumption $\left|a_{1}\right| \gtrdot\left|b_{1}\right|$ seems to be reasonable enough. It allows us to make a definite prediction for the spin-spin correlations in the reaction $p d \rightarrow{ }^{3} \mathrm{He} X$ with polarized deuteron and proton. Assuming that the polarization vectors of the proton $\mathbf{P}_{p}$ and deuteron $\mathbf{P}_{d}$ are perpendicular to the incident beam and that the polarization tensor of the deuteron is zero, we obtain the following expression for the spin-spin asymmetry:

$$
\begin{equation*}
\Sigma_{X}=\frac{d \sigma(\uparrow \uparrow)-d \sigma(\uparrow \downarrow)}{d \sigma(\uparrow \uparrow)+d \sigma(\uparrow \downarrow)}=-\frac{\left|\mathscr{F}_{000}\right|^{2}-\left|\mathscr{F}_{202}\right|^{2}-\frac{1}{\sqrt{2}} \operatorname{Re}\left(\mathscr{F}_{000} \mathscr{F}_{202}^{*}\right)}{\left|\mathscr{F}_{000}\right|^{2}+\left|\mathscr{F}_{202}\right|^{2}} \tag{7}
\end{equation*}
$$

where $d \sigma(\uparrow \uparrow)$ and $d \sigma(\uparrow \downarrow)$ are the cross sections in the cases of parallel and antiparallel orientation of the polarization vectors of the proton and deuteron. We have found numerically from Eq. (7) that $\Sigma_{\phi}=-0.95$ near the threshold and goes very rapidly to -1 above the threshold. A very similar result is obtained for the $\omega$ meson: $\Sigma_{\omega}=-0.92$. Neglecting the $D$ component of the deuteron wave function, we obtain the same result for vector and pseudoscalar mesons: $\Sigma_{\phi, \omega}=\Sigma_{\eta, \eta^{\prime}}=-1$. It should be noted that a positive value for $\Sigma_{\phi}$ is expected on the basis of the $s \bar{s}$ hypothesis. ${ }^{4}$


FIG. 2. The square modulus of the amplitude of the $p d \rightarrow{ }^{3} \mathrm{He} \omega$ reaction as a function of the c.m.s. momentum $p^{*}$ of the $\omega$ meson. The curve is the result of a calculation for $R_{1}=\frac{1}{3}$ multiplied by a factor $N=9.6$; the circles (O) are experimental data. ${ }^{10}$

In conclusion, the absolute value of the cross section for vector mesons is substantially smaller than the experimental value. At the threshold ( $p^{*} \sim 20 \mathrm{MeV} / c$ ) the normalization factor $N$ for $\omega$ - is 5.9 and for $\phi$-meson is 6.6. To describe the absolute magnitude of the cross section in the range of $100 \mathrm{MeV} / c \leqslant p^{*} \leqslant 400 \mathrm{MeV} / c$ one needs a normalization factor $N=9.6$, which is substantially larger than the value 2.4 found in Ref. 9 at the threshold. The fairly satisfactory description of the form of the square modulus of the amplitude $\left|f\left(p d \rightarrow{ }^{3} \mathrm{He} \omega\right)\right|^{2}$ together with a shortfall of the absolute value by an order of magnitude is the main puzzle of this model. The experiments with polarized particles ${ }^{5}$ can give new, very important information about the mechanism in question.

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